#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

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Date of Deposit

Michael E. Milz

Name of Applicant, Assignee or Registered Representative

Signature

7-27-04

Date of Signature

Our Case No.: 10000/303

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kennedy, II et al.

Serial No.: 10/792,031

Filing Date: March 2, 2004

For: NON-BUCKLING BALLOON

**CATHETER** 

Examiner: To be assigned

Group Art Unit No.: 3763

# **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

No.	Date	Name
4,338,943	7/16/1982	Okamoto et al.
5,681,344	10/28/1997	Kelly
5,779,731	7/14/1998	Leavitt
5,797,868	8/25/1998	Leone
6,098,629	8/8/2000	Johnson et al.

# **FOREIGN DOCUMENTS**

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
EP 1 016 430 A1	7/5/2000	Europe
DE 298 06 831 U1	8/13/1998	Germany
2 723 537	2/16/1996	France
EP 0 935 973 A2	8/18/1999	Europe

### OTHER DOCUMENTS

 International Search Report corresponding to PCT/US03/15593, filed on September 3, 2003.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks

Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

This application is a continuation-in-part application of U.S. Serial No. 10/436, 452, filed May 12, 2003 and is relied upon for an earlier filing dated under 35 U.S.C. § 120. In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 10/436, 452 are enclosed for the convenience of the Examiner.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

Michael E. Milz

Registration No. 34,880 Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

FORM PTO-1449	SERIAL NO.	CASE NO.
PORIVIFIO-1449	10/792,031	10000/303
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	March 2, 2004	3763
STATEMENT		
(use several sheets if necessary)	APPLICANT(S):	

# REFERENCE DESIGNATION

# **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,338,943	7/16/1982	Okamoto et al.		
	A2	5,681,344	10/28/1997	Kelly		
	A3	5,779,731	7/14/1998	Leavitt		
	A4	5,797,868	8/25/1998	Leone		
	A5	6,098,629	8/8/2000	Johnson et al.		
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#### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A6	EP 1 016 430 A1	7/5/2000	Europe		
	A7	DE 298 06 831 U1	8/13/1998	Germany		No
	A8	2 723 537	2/16/1996	France		No
	A9	EP 0 935 973 A2	8/18/1999	Europe		
	A10	DE 26 53 424 A1	1/6/1978	Germany		No

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, series symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where publish				
	A11	International Search Report corresponding to PCT/US03/15593, filed on September 3, 2003			

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99